Survey of School Districts

A s part of the best practices reviews on e-government and managing local computer systems, the Office of the Legislative Auditor surveyed 310 out of Minnesota's 345 independent and special school districts. The aggregate results of school districts' responses are available here. Survey results from Minnesota cities and counties are also available on our Web site.

Who was Surveyed and How Many Responded

To achieve a representative sample of school districts, we grouped all districts by geographic area and by size and randomly selected samples of districts from within each of the subgroups. The October 2001 survey went to technology coordinators in the randomly selected school districts or to superintendents when we did not have the name of a technology contact. Participants had an option of responding on-line or using the paper questionnaire. We received responses from 272 districts for a response rate of 88 percent. The margin of error for the school district survey is plus or minus 3 percentage points. Additional information on survey methodology is in Appendix A of both reports.

Notes on Survey Results

Of the 32 questions on the survey, 2 applied to the computer systems that school districts had in place and how they were maintained. Viewers interested in those answers should look at questions 3 and 4 on the survey. All remaining questions pertained to e-government.

In analyzing the results, it became necessary to exclude certain incongruous answers from a small number of respondents. As an example, for question 3 we report the number indicating that their computer systems were fully or partially networked, but we include in this number only those who indicated that they had personal computers or workstations in use. Some reported that their computers were networked even though they reported they had no PCs. Although we were able to clarify some responses by telephoning the respondents, we excluded a few that were not clarified.

SCHOOL DISTRICTS



Minnesota Office of the Legislative Auditor SURVEY ON E-GOVERNMENT

Thank you for answering this survey on electronic government, which is part of a study on best practices in local e-government around Minnesota. For this study,

"E-government" is defined as: information or transactions provided on-line by governments to their citizens using the Internet and Web sites; it does not include government-to-business interactions, such as on-line procurement systems. Nor does this survey cover "intranets" used for agencies' internal information and communication. E-government examples range from posting board minutes to registering participants to paying fees on-line.

For your information, *Minnesota Statutes* (2000) §3.978, subd. 2 gives our office authority to collect this information from public officials and requires them to respond. We will report results from the survey only in the aggregate, not by individual respondent. Upon completion of this project in spring of 2002, however, all information, including survey responses, will be public data (as defined by *Minnesota Statutes* (2000) §13.03, subd. 1) and available to the public upon request.

You have the option of completing an on-line version of this survey by following the directions on the blue sheet enclosed with this mailing. If you choose the paper survey, please return it in the postage-paid envelope by **November 6, 2001**. *Direct questions about the survey to Jody Hauer at 651/296-8501 or jody.hauer@state.mn.us.*

1. Does your school district provide any information or services to citizens on-line? (Select one of the following answers.) (N=270)

Number Percent

169 62.6% 1 Yes.

12 4.4 2 No, but we will within the coming year.

- 62 23.0 3 No, but we would like to sometime in the future.
- 24 8.9 4 No, we have no such plans.
- 3 1.1 Responded no, but other analysis indicates a web site exists.
- 2. Whether or not you currently offer e-government to citizens, how has any of the following limited your ability to offer e-government? (For each obstacle, indicate how serious it is, if at all.)

		Very		Moderately	
		Serious	Serious	Serious	Not an
		Obstacle	Obstacle	Obstacle	Obstacle
a.	Citizens indicated they are not interested in e-government. (<i>N</i> =261)	<i>N</i> =9; 3.4%	N=9 3.4%	<i>N</i> =43 16.5%	N=200 76.6%
b.	Elected leaders do not view e-government as a high priority. (<i>N</i> =263)	<i>N</i> =14 5.3%	<i>N</i> =17 6.5%	<i>N</i> =61 23.2%	<i>N</i> =171 65.0%
c.	Expenses for e-government are too high given our other needs. (<i>N</i> =265)	<i>N</i> =59 22.3%	<i>N</i> =64 24.2%	N=80 30.2%	<i>N</i> =62 23.4%
d.	We are not sure where to begin. (<i>N</i> =264)	<i>N</i> =24 9.1%	<i>N</i> =24 9.1%	<i>N</i> =69 26.1%	<i>N</i> =147 55.7%
e.	We do not have access to high-speed telecommunica- tions lines, cabling, or other infrastructure. (<i>N</i> =262)	- <i>N</i> =10 3.8%	<i>N</i> =9 3.4%	<i>N</i> =31 11.8%	<i>N</i> =212 80.9%
f.	We do not have the computers, software, or other necessary equipment. (<i>N</i> =264)	<i>N</i> =15 5.7%	<i>N</i> =27 10.2%	<i>N</i> =67 25.4%	N=155 58.7%
g.	Staff do not have e-government background or training. (<i>N</i> =266)	<i>N</i> =38 14.3%	<i>N</i> =69 25.9%	<i>N</i> =125 47.0%	<i>N</i> =34 12.8%
h.	Not enough staff hours are available to offer e-government. (<i>N</i> = 267)	<i>N</i> =81 30.3%	N=95 35.6%	<i>N</i> =66 24.7%	<i>N</i> =25 9.4%
i.	We are unable to keep up with rapidly changing technology. (<i>N</i> =262)	<i>N</i> =27 10.3%	<i>N</i> =69 26.3%	<i>N</i> =110 42.0%	<i>N</i> =56 21.4%
j.	Other (<i>Please specify</i> .) (N=21)	<i>N</i> =5 23.8%	<i>N</i> =4 19.0%	<i>N</i> =1 4.8%	<i>N</i> =11 52.4%

The next two questions deal with *all* of your school district's computer systems—not just computers that you may use for e-government.

3. Which of the following best describes the computers currently used in your school district? (*For each row indicate whether it is in use and, if applicable, part of a computer network.*)

		N for	N for In Use		N for		Networked		
		<u>In Use</u>	Yes	No	Networked*	Fully	<u>Partially</u>	None	
a.	Individual personal computers or workstations	<i>N</i> =271	<i>N</i> =271 100.0%	N=0 0.0%	<i>N</i> =267	<i>N</i> =219 82.0%	<i>N</i> =48 18.0%	<i>N</i> =0 0.0%	
b.	Mid-range (mini-computers) or mainframe computers	<i>N</i> =229	<i>N</i> =91 39.7%	<i>N</i> =138 60.3%	<i>N</i> =86	<i>N</i> =60 69.8%	<i>N</i> =24 27.9%	<i>N</i> =2 2.3%	
c.	File servers	<i>N</i> =257	<i>N</i> =250 97.3%	<i>N</i> =7 2.7%					

d. Other (*Please specify*.)

*Out of all those who responded that they had computers.

4. What arrangements does your school district have for the updating, security, and daily operations of all the computer system(s) in your jurisdiction? (*Indicate the extent to which each row applies.*)

ine compater system(s) in your jurisaterion.	(indicate the extent to which each tow appress)				
	For <i>All</i> of the Systems'	For <i>Most</i> of the Systems'	For <i>Some</i> of the Systems'	For <i>None</i> of the Systems'	
We rely on in-house staff. (N=266)	<i>N</i> =73	<i>N</i> =158	<i>N</i> =35	N=0	
	27.4%	59.4%	13.2%	0.0%	
We rely on a collaboration(s) of local governments (or a cooperative). (<i>N</i> =259)	<i>N</i> =8	<i>N</i> =21	<i>N</i> =124	N=106	
	3.1%	8.1%	47.9%	40.9%	
We rely on a consultant or computer-service vendor(s) for the computers we own. (<i>N</i> =268)	<i>N</i> =14	N=25	<i>N</i> =173	<i>N</i> =56	
	5.2%	9.3%	64.6%	20.9%	
We house computers and their applications off-site and a vendor there manages them. (<i>N</i> =25	N=0	<i>N</i> =3	<i>N</i> =40	<i>N</i> =210	
	0.0%	1.2%	15.8%	83.0%	
Other. (<i>Please specify</i> .) (N=11)	<i>N</i> =1	<i>N</i> =3	<i>N</i> =0	<i>№</i> =7	
	9.1%	27.3%	0.0 %	63.6%	
	We rely on a collaboration(s) of local governments (or a cooperative). (<i>N</i> =259) We rely on a consultant or computer-service vendor(s) for the computers we own. (<i>N</i> =268) We house computers and their applications off-site and a vendor there manages them. (<i>N</i> =25	the Systems' UpkeepWe rely on in-house staff. (N=266) $N=73$ 27.4% We rely on a collaboration(s) of local governments (or a cooperative). (N=259) $N=8$ 3.1% We rely on a consultant or computer-service vendor(s) for the computers we own. (N=268) $N=14$ 5.2% We house computers and their applications off-site and a vendor there manages them. (N=253) $N=0$ 0.0% Other. (Please specify.) (N=11) $N=1$	the Systems' the Systems' UpkeepWe rely on in-house staff. (N=266) $N=73$ 27.4% $Upkeep$ UpkeepWe rely on a collaboration(s) of local governments (or a cooperative). (N=259) $N=8$ 3.1% $N=21$ 8.1% We rely on a consultant or computer-service vendor(s) for the computers we own. (N=268) $N=0$ 5.2% $N=3$ 9.3% We house computers and their applications off-site and a vendor there manages them. (N=253) $N=0$ 0.0% $N=3$ 1.2% Other. (Please specify.) (N=11) $N=1$ $N=3$	the Systems' the Systems' the Systems' the Systems' the Systems'We rely on in-house staff. (N=266)N=73 27.4% N=158 59.4% N=35 13.2% We rely on a collaboration(s) of local governments (or a cooperative). (N=259)N=8 3.1% N=21 8.1% N=124 47.9% We rely on a consultant or computer-service vendor(s) for the computers we own. (N=268)N=14 5.2% N=25 9.3% N=173 64.6% We house computers and their applications off-site and a vendor there manages them. (N=253)N=0 0.0% N=3 1.2% N=40 15.8% Other. (Please specify.) (N=11)N=1N=3N=0	

The following questions apply only if you already offer e-government—that is, you answered "yes" to Question 1 on page 1. *If you do not currently provide information or services to citizens on-line, you may STOP here and return the survey. Thank you.*

This next set of questions deals with *planning* for e-government.

5. Do you have a written strategic plan that includes plans for your e-government services? (Select one.) (N=166)

Number Percent

66	39.8%	1 Yes.
32	19.3	2 We have a plan, but it is not written. (<i>Go to Question</i> 7.)
68	41.0	3 No. (Go to Question 7.)

6.	If YES, which of the following characterizes your strategic plans for e-government?
	(Select all that apply.) (N=66; out of those who answered yes to Q5.)
Numbe	er Percent
36	54.5% a. They describe the school district's vision for how e-government will work and serve citizens.
49	74.2 b. They are aligned with the school district's overall strategic plan or comprehensive plan or
	business plan.
31	47.0 c. They specify which information or services are suitable for electronic delivery.
16	24.2 d. They specify which work processes need to change to accommodate on-line service requests.
29	43.9 e. They consider how citizens might gain access to the Web site, especially for citizens without
	computers.
56	84.8 f. They are reviewed and updated on a regular basis.
7.	Before implementing e-government, did your school district assess its readiness for e-government in
	any of the following areas? (Select all that apply.) (N=167; includes those not responding to this
	question but completing subsequent ones.)
Numbe	er Percent
77	46.1% 🗌 a. Leadership support needed for e-government
130	77.8 b. Staff competency to plan, develop, and maintain the Web site
136	81.4 . c. Availability of computers, technical equipment, and telecommunications capabilities
47	28.1 d. Ability to assess citizen expectations for e-government
115	68.9 e. Funding for equipment and staff
104	
5	
8.	Did your school district plan how it would implement e-government, once it decided to proceed?
	(Select one answer.) (N=164)
Numbe	er Percent
42	25.6% 1 Yes, we had written plans.
83	50.6 2 We planned implementation, but did not write it down. (Go to Question 10.)
39	23.8 3 No. (Go to Question 10.)
9.	If YES, which of the following did your implementation plans contain? (Select all that apply.)
).	(N=42; out of those who answered yes to Q8.)

Number Percent

	1 0100111	
31	73.8%	a. A description of the on-line initiatives to be undertaken
16	38.1	b An economic analysis of the initiatives' costs
32	76.2	c. Identification of staff resources
29	69.0	d. Proposed funding sources
7	16.7	e. Marketing plans for the e-government services
31	73.8	f. A schedule for implementation
11	26.2	g. Customer relations activities to support and respond to users' questions and problems
12	28.6	h. An assessment of changes needed to your business processes
0	0.0	i. Other (<i>Please describe</i> .)

10. Has your school district developed policies for any of the following? (Select one for each row.)

		Have a Written Policy	Certain Practices Are Followed, But <u>Are Unwritten</u>	No Policy Has Yet <u>Been Set</u>
a.	Purpose of Web use in school district business (N=164)	<i>N</i> =88; 53.7%	<i>N</i> =58; 35.4%	<i>N</i> =18; 11.0%
b.	Appropriate staff use of the Web (N=164)	<i>N</i> =124; 75.6%	<i>N</i> =31; 18.9%	<i>N</i> =9; 5.5%
c.	Public access to records (N=163)	<i>N</i> =107; 65.6%	<i>N</i> =38; 23.3%	<i>N</i> =18; 11.0%
d.	Privacy of data (N=165)	<i>N</i> =138; 83.6%	<i>N</i> =20; 12.1%	<i>N</i> =7; 4.2%
e.	Security of network resources (N=163)	<i>N</i> =85; 52.1%	<i>N</i> =70; 42.9%	<i>N</i> =8; 4.9%
f.	Records management and storage (N=164)	<i>N</i> =73; 44.5%	<i>N</i> =80; 48.8%	<i>N</i> =11; 6.7%
g.	Marketing Web site to the larger community (N=160)	<i>N</i> =9; 5.6%	<i>N</i> =68; 42.5%	<i>N</i> =83; 51.9%

11. How successful has your school district been in attempting the following? (Select one for each row.)

	S	uccessful	Somewhat <u>Successful</u>	Somewhat <u>Unsuccessful</u>	<u>Unsuccessful</u>	Has Not Been <u>Attempted</u>
a.	Seeking support for e-government from top elected and administrative officials (<i>N</i> =166)	<i>N</i> =24 14.5%	<i>N</i> =55 33.1%	<i>N</i> =18 10.8%	<i>N</i> =6 3.6%	<i>N</i> =63 38.0%
b.	Seeking citizen input through a public approval process, e.g., public hearing (<i>N</i> =163)	N=6 3.7%	N=37 22.7%	<i>N</i> =19 11.7%	<i>N</i> =2 1.2%	<i>N</i> =99 60.7%
c.	Identifying potential users and their needs and desires to make the Web site "citizen-oriented" (<i>N</i> =165)	<i>N</i> =12 7.3%	<i>N</i> =69 41.8%	<i>N</i> =30 18.2%	N=0 0.0%	N=54 32.7%
d.	Assigning e-government responsibility to a specific project manager or department (<i>N</i> =165)	<i>N</i> =38 23.0%	<i>N</i> =58 35.2%	<i>N</i> =22 13.3%	<i>N</i> =2 1.2%	N=45 27.3%
e.	Promoting staff awareness of e-government as a means of conducting day-to-day business (<i>N</i> =165)	<i>N</i> =12 7.3%	<i>N</i> =78 47.3%	<i>N</i> =31 18.8%	<i>N</i> =5 3.0%	<i>N</i> =39 23.6%
f.	Using staff from multiple departments to coordinate the e-government effort (<i>N</i> =165)	<i>N</i> =16 9.7%	<i>N</i> =56 33.9%	<i>N</i> =27 16.4%	<i>N</i> =8 4.8%	<i>N</i> =58 35.2%

12. To the best of your knowledge, how adequate is the infrastructure now available to support your Web site? (Infrastructure includes cabling, telecommunication protocols, hardware standards, high-speed access, etc.) (*Select one.*) (*N*=166)

<u>Adequate</u>	Somewhat Adequate	Somewhat Inadequate	<u>Inadequate</u>	<u>Don't Know</u>
<i>N</i> =95; 57.2%	N=51; 30.7%	N=10; 6.0%	<i>N</i> =8; 4.8%	<i>N</i> =2; 1.2%

12(a). Comments: _____

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13. In undertaking e-government, did your school district work independently or with others? (*For each column, indicate with whom you worked, if anyone.*)

		(<i>N</i> =156) For Planning <u>E-Government</u>	(<i>N</i> =137) For Implementing <u>E-Government</u>	(<i>N</i> =132) For Maintaining <u>E-Government</u>
a.	Independently	<i>N</i> =100; 64.1%	<i>N</i> =86; 62.8%	<i>N</i> =102; 77.3%
b.	With a nearby city(ies)	<i>N</i> =16; 10.3%	<i>N</i> =10; 7.3%	<i>N</i> =8; 6.1%
c.	With a nearby school district(s)	<i>N</i> =30; 19.2%	<i>N</i> =15; 10.9%	<i>N</i> =9; 6.8%
d.	With a nearby county(ies)	<i>N</i> =14; 9.0%	<i>N</i> =8; 5.8%	<i>N</i> =2; 1.5%
e.	With the local library	<i>N</i> =13; 8.3%	<i>N</i> =10; 7.3%	<i>N</i> =3; 2.3%
f.	With a community or technical college or university	<i>N</i> =11; 7.1%	<i>N</i> =6; 4.4%	<i>N</i> =3; 2.3%
g.	With businesses, consultants, or nonprofits	<i>N</i> =40; 25.6%	<i>N</i> =32; 23.4%	<i>N</i> =21; 15.9%
h.	Other (Please specify.)	<i>N</i> =0; 0.0%	<i>N</i> =0; 0.0%	<i>N</i> =1; 0.8%

14. When planning for e-government, did your school district estimate expenses using "life-cycle" costs? (Life-cycle costs look at expected use, maintenance, energy, training, and disposal costs over the life of the item as well as the initial purchase price.) (For each row, indicate the cost considerations that were made.)

		Not Included	Estimated Only the Initial	Estimated Using Life-
		as a Cost	Purchase Price	Cycle Costs
a.	Research and development (N=155)	<i>N</i> =100; 64.5%	<i>N</i> =43; 27.7%	<i>N</i> =12; 7.7%
b.	Hardware and software (N=157)	<i>N</i> =29; 18.5%	<i>N</i> =78; 49.7%	<i>N</i> =50; 31.8%
c.	Infrastructure (cabling, telecommunication lines, etc.) (N=159)	<i>N</i> =39; 24.5%	<i>N</i> =66; 41.5%	<i>N</i> =54; 34.0%
d.	Contracts with Internet service providers (N=158)	<i>N</i> =51; 32.3%	<i>N</i> =44; 27.8%	<i>N</i> =63; 39.9%
e.	Staff time for technical work and Web page content (N=158)	<i>N</i> =64; 40.5%	<i>N</i> = 50; 31.6%	<i>N</i> =44; 27.8%
f.	Staff time for maintaining the Web site (N=158)	<i>N</i> =66; 41.8%	<i>N</i> =48; 30.4%	<i>N</i> =44; 27.8%
g.	Likely overtime pay (<i>N</i> =156)	<i>N</i> =124; 79.5%	<i>N</i> =21; 13.5%	<i>N</i> =11; 7.1%
h.	Opportunity costs for staff time (<i>N</i> =158)	<i>N</i> =110; 69.6%	<i>N</i> =30; 19.0%	<i>N</i> =18; 11.4%
i.	Ongoing maintenance and software updates (<i>N</i> =158)	<i>N</i> =47; 29.7%	<i>N</i> =56; 35.4%	<i>N</i> =55; 34.8%

15. How does your school district pay for setting up and running your Web site? (Select one for each row.)

		Use Exclusively	<u>Use in Part</u>	Have Not Used
a.	General fund or other local tax dollars (N=161)	<i>N</i> =28; 17.4%	<i>N</i> =127; 78.9%	<i>N</i> =6; 3.7%
b.	Capital-improvement program dollars (N=145)	<i>N</i> =0; 0.0%	<i>N</i> =98; 67.6%	<i>N</i> =47; 32.4%
c.	User fees (N=135)	<i>N</i> =0; 0.0%	<i>N</i> =6; 4.4%	<i>N</i> =129; 95.6%
d.	Partnerships with other entities (N=138)	<i>N</i> =0; 0.0%	<i>N</i> =31; 22.5%	<i>N</i> =107; 77.5%
e.	Philanthropic grants (N=136)	<i>N</i> =0; 0.0%	<i>N</i> =21; 15.4%	<i>N</i> =115; 84.6%
f.	Advertising revenue (N=134)	<i>N</i> =0; 0.0%	<i>N</i> =3; 2.2%	<i>N</i> =131; 97.8%
g.	State or federal assistance (including grants) (N=147)	<i>N</i> =1; 0.7%	<i>N</i> =77; 52.4%	<i>N</i> =69; 46.9%
h.	Other (Please specify.) (N=22)	<i>N</i> =4; 18.2%	<i>N</i> =2; 9.1%	<i>N</i> =16; 72.7%

Now we would like to ask you about the development and design of your Web site.

16. What steps did your school district follow in developing your Web site? (Select all that apply.)

(*N*=167; includes those not answering this question but completing subsequent ones.) Number Percent

143	85.6%	a. Defined the likely target audience for the site
47	28.1	b. Surveyed potential users about their information needs
89	53.3	c. Developed lists of users' likely on-line needs
73	43.7	d. Relied on user information in making design decisions
28	16.8	e. Observed users as they performed tasks using the Web site and solicited their feedback
29	17.4	f. Conducted usability tests of the site to evaluate its structure, content, presentation, or interface

17. How would you describe the extent of the current content of your Web site? (Select all that apply.) (N=167: includes those not answering this question but completing subsequent ones.)

(N = 107,	includes those not answering this question but completing subsequent ones.)
<u>Number</u>	Percent	
166	99.4%	a. Provides information describing your school district and its operations
53	31.7	b. Provides forms that may be printed and mailed (e.g., employment applications, permit renewals)
37	22.2	c. Offers a means to submit information on-line (e.g., service requests, citizen polls, registration
		information)
3	1.8	d. Offers a means to complete financial transactions on-line (e.g., pay fees)
13	7.8	e. Other (<i>Please specify</i> .)

18. Indicate which features are part of your current Web site. (Select all that apply.)

(N=167; includes those not answer	ing this question but	completing subsequent ones.)
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<u>Number</u>	Percent	
135	82.8%	a. Consistent use of headers, fonts, and backgrounds from page to page
154	92.2	b. Use of plain English instead of technical jargon
66	39.5	c. Text-only or low-graphics options to allow fast loading of Web pages
118	70.7	d. Identification of your school district on each Web page
64	38.3	e. Date stamps to indicate the most recent revisions of information
142	85.0	f. Contact names, addresses, e-mail addresses, and telephone numbers displayed prominently
23	13.8	g. A page of "frequently asked questions"
36	21.6	h. Information on how to answer questions not covered on-line
120	71.9	i. Clearly labeled navigation buttons used consistently across pages to return users to specific pages
110	65.9	j. E-mail links to useful resources both inside the school district and external to it
38	22.8	k. An index or search function
24	14.4	l. Automated tracking of the times users access various pages or download documents

19. Has your school district developed policies that govern what information will be collected from visitors to the Web site? (*Select one.*) (*N*=165)

Number Percent

- 8 4.8% 1 Yes.
- 22.4 2 We follow certain privacy practices, but they are unwritten. (Go to Question 21.)
- 120 72.7 3 No. (*Go to Question 21.*)

20. Which of the following applies to your school district's privacy policies? (Select all that apply.) (*N*=8; out of those who responded yes to Q19.)

Number Percent

37.5% 3 a. They specify what data are to be collected from Web-site users. 3 37.5 b. They state who are the potential recipients of the data. 5 62.5 c. They specify the intended uses of the information. 5 62.5 d. They detail the steps taken to protect the data's confidentiality. 1 12.5 e. They appear in a conspicuous place on the Web page near where the data are actually collected. 0 0.0 f. They give users a choice about use of their personal information for secondary purposes (e.g., being placed on a mailing list or being transferred to a third party). g. They provide users a means to review information collected on-line. 1 12.5 12.5 h. They provide users a means to correct data that are inaccurate. 1 12.5 1 i. The Web site displays a privacy seal indicating third-party approval of privacy practices.

21. Does your Web site provide for users who do not speak English or who have physical disabilities? (Select one response for each row.)

		Yes	No	Users Do Not Need	Don't Know	
a.	Site provides an alternative language feature (<i>N</i> =161)	<i>N</i> =3 1.9%	<i>N</i> =143 88.8%	<i>N</i> =10 6.2%	<i>N</i> =5 3.1%	
b.	Site complies with federal or state guidelines on accessibility $(N=160)$	<i>N</i> =27 16.9%	<i>N</i> =23 14.4%	N=6 3.8%	<i>N</i> =104 65%	

22. Which security measures, if any, has your school district taken for its Web site? (Select one for each row.)

a.	Conducted risk assessment of Web site data security (<i>N</i> =159)	Done <u>Fully</u> <i>N</i> =31 19.5%	Done <u>Partially</u> <i>N</i> =39 24.5%	Not <u>Done</u> <i>N</i> =57 35.8%	Not <u>Applicable</u> <i>N</i> =9 5.7%	Don't <u>Know</u> <i>N</i> =23 14.5%
b.*	Wrote security policies and procedures based on results of risk assessment ($N=162$)	<i>N</i> =19 11.7%	<i>N</i> =35 21.6%	<i>N</i> =74 45.7%	<i>N</i> =7 4.3%	<i>N</i> =24 14.8%
c.	Installed and tested firewall(s) (<i>N</i> =166)	<i>N</i> =97 58.4%	<i>N</i> =38 22.9%	<i>N</i> =21 12.7%	<i>N</i> =4 2.4%	<i>N</i> =6 3.6%
d.	Installed current security patches (<i>N</i> =161)	<i>N</i> =91 56.5%	<i>N</i> =33 20.5%	<i>N</i> =15 9.3%	<i>N</i> =7 4.3%	<i>N</i> =15 9.3%
e.	Used current anti-virus programs (<i>N</i> =165)	<i>N</i> =106 64.2%	<i>N</i> =44 26.7%	<i>N</i> =6 3.6%	<i>N</i> =5 3.0%	<i>N</i> =4 2.4%
f.	Developed plans for data backups and disaster recovery (<i>N</i> =164)	<i>N</i> =102 62.2%	<i>N</i> =41 25.0%	<i>N</i> =13 7.9%	<i>N</i> =1 0.6%	<i>N</i> =7 4.3%
g.	Granted employee data access on "need-to-know" basis (<i>N</i> =165)	<i>N</i> =68 41.2%	<i>N</i> =43 26.1%	<i>N</i> =22 13.3%	<i>N</i> =14 8.5%	<i>N</i> =18 10.9%
h.	Changed security clearances when employees turned over $(N=163)$	<i>N</i> =76 46.6%	<i>N</i> =30 18.4%	<i>N</i> =28 17.2%	<i>N</i> =17 10.4%	<i>N</i> =12 7.4%
i.	Required periodic password changes (<i>N</i> =165)	<i>N</i> =50 30.3%	<i>N</i> =39 23.6%	<i>N</i> =55 33.3%	<i>N</i> =8 4.8%	<i>N</i> =13 7.9%
j.	Separated servers for Web site and other functions (<i>N</i> =167)	<i>N</i> =106 63.5%	<i>N</i> =33 19.8%	N=14 8.4%	<i>N</i> =2 1.2%	<i>N</i> =12 7.2%
k.	Internally controlled who changes the Web site and when (<i>N</i> =166)	<i>N</i> =136 81.9%	<i>N</i> =19 11.4%	<i>N</i> =5 3.0%	<i>N</i> =0 0.0%	<i>N</i> =6 3.6%
1.	Periodically reviewed security system's adequacy (<i>N</i> =164)	<i>N</i> =63 38.4%	<i>N</i> =64 39.0%	<i>N</i> =23 14.0%	<i>N</i> =3 1.8%	<i>N</i> =11 6.7%
	*Have security policy but not risk assessment	<i>N</i> =3 1.9%				

23. How much nonpublic data do you maintain on any servers in connection with your Web site? (Select one.) (N=163)

Number Percent

1 0.6% 1 Large amounts

24 14.7 2 Moderate amounts

129 79.1 3 Very little, if any

9 5.5 4 Don't know

24. Has your school district taken any of the following security steps to protect your site's data?

(Select one for each row.)

		Done Fully	Done <u>Partially</u>	Not <u>Done</u>	Not <u>Applicable</u>	Don't <u>Know</u>
a.	Trained employees on importance of securing data (<i>N</i> =161)	<i>N</i> =33 20.5%	<i>N</i> =84 52.2%	<i>N</i> =24 14.9%	<i>N</i> =18 11.2%	<i>N</i> =2 1.2%
b.	Besides passwords, required second authentication factor, e.g., smart card or token (<i>N</i> =159)	<i>N</i> =4 2.5%	<i>N</i> =14 8.8%	<i>N</i> =109 68.6%	<i>N</i> =21 13.2%	<i>N</i> =11 6.9%
c.	Assigned responsibility for ongoing security monitoring to trained employees or consultants (<i>N</i> =161)	<i>N</i> =52 32.3%	<i>N</i> =47 29.2%	<i>N</i> =38 23.6%	N=16 9.9%	<i>N</i> =8 5.0%
d.	Restricted physical access to data centers (<i>N</i> =161)	<i>N</i> =78 48.4%	<i>N</i> =49 30.4%	<i>N</i> =16 9.9%	<i>N</i> =11 6.8%	<i>N</i> =7 4.3%
e.	Prepared incident-response procedures for possible incidents (<i>N</i> =160)	<i>N</i> =20 12.5%	<i>N</i> =50 31.3%	<i>N</i> =62 38.8%	<i>N</i> =14 8.8%	<i>N</i> =14 8.8%
f.	Encrypted incoming and stored data (<i>N</i> =160)	<i>N</i> =5 3.1%	<i>N</i> =24 15.0%	<i>N</i> =75 46.9%	<i>N</i> =26 16.3%	<i>N</i> =30 18.8%
g.	Disposed of nonpublic data when no longer needed $(N=161)$	<i>N</i> =26 16.1%	<i>N</i> =46 28.6%	<i>N</i> =26 16.1%	<i>N</i> =37 23.0%	<i>N</i> =26 16.1%
h.	Installed intrusion detection software (<i>N</i> =159)	<i>N</i> =23 14.5%	<i>N</i> =35 22.0%	<i>N</i> =57 35.8%	<i>N</i> =15 9.4%	<i>N</i> =29 18.2%
i.	Provided for third-party assessment of security controls (<i>N</i> =161)	<i>N</i> =13 8.1%	<i>N</i> =21 13.0%	<i>N</i> =80 49.7%	<i>N</i> =20 12.4%	<i>N</i> =27 16.8%
j.	Implemented "public key infrastructure" with electronic "keys" to decrypt data (<i>N</i> =161)	<i>N</i> =4 2.5%	<i>N</i> =9 5.6%	<i>N</i> =88 54.7%	<i>N</i> =29 18.0%	<i>N</i> =31 19.3%
k.	Other (<i>Please specify</i> .) (N=7)	N=0 0.0%	N=0 0.0%	N=1 14.3%	N=2 28.6%	N=4 57.1%

25. Which of the following best describes your arrangements for the development, hosting, and day-to-day operations of your Web site? (For each column select all that apply.)

·		(<i>N</i> =163) For <u>Developing</u>	(<i>N</i> =160) For <u>Hosting</u>	(<i>N</i> =165) For Daily <u>Maintenance</u>
a.	We rely on in-house staff.	<i>N</i> =156 95.7%	<i>N</i> =133 83.1%	<i>N</i> =161 97.6%
b.	We rely on a collaboration(s) of local governments.	<i>N</i> =5 3.1%	<i>N</i> =6 3.8%	N=5 3.0%
c.	We use our own computers but rely on a consultant or Web-service vendor(s).	<i>N</i> =15 9.2%	<i>N</i> =19 11.9%	<i>N</i> =19 11.5%
d.	Service is provided off-site by a vendor using off-site equipment.	N=8 4.9%	<i>N</i> =16 10.0%	N=9 5.5%
e.	Other (Please specify.)	<i>N</i> =3 1.8%	<i>N</i> =0 0.0%	<i>N</i> =2 1.2%

The next set of questions applies to the *ongoing operations* of your school district's Web site.

26. Does your school district have a written plan describing procedures and a schedule for Web site upkeep? (*N*=164)

Number Percent

- 21 12.8% 1 Yes.
- 77 47.0 2 We have a plan but it is not written.
- 66 40.2 3 No.
- 27. If your school district has in-house staff who work on e-government, how would you describe the adequacy of the number of staff needed for e-government, their competence, their use of training, and the general quality of the training? (Select one response for each row.)

		Adequate	Somewhat Adequate	Somewhat Inadequate	Inadequate	Not <u>Applicable</u>
a.	Number of staff needed for e-government (<i>N</i> =162)	<i>N</i> =14 8.6%	<i>N</i> =40 24.7%	<i>N</i> =40 24.7%	<i>N</i> =64 39.5%	<i>N</i> =4 2.5%
b.	Staff competence to offer e-government (<i>N</i> =161)	<i>N</i> =42 26.1%	<i>N</i> =63 39.1%	<i>N</i> =36 22.4%	<i>N</i> =17 10.6%	<i>N</i> =3 1.9%
c.	Staff Use of Available Training					
	1. Start-up training (<i>N</i> =160)	<i>N</i> =18 11.3%	N=57 35.6%	<i>N</i> =41 25.6%	<i>N</i> =29 18.1%	<i>N</i> =15 9.4%
	 Ongoing training (N=159) 	<i>N</i> =13 8.2%	N=52 32.7%	<i>N</i> =51 32.1%	<i>N</i> =28 17.6%	<i>N</i> =15 9.4%
d.	Quality of Training					
	1. Start-up training (<i>N</i> =157)	<i>N</i> =26 16.6%	<i>N</i> =59 37.6%	<i>N</i> =34 21.7%	<i>N</i> =20 12.7%	<i>N</i> =18 11.5%
	2. Ongoing training (<i>N</i> =158)	<i>N</i> =19 12.0%	<i>N</i> =54 34.2%	<i>N</i> =45 28.5%	<i>N</i> =21 13.3%	N=19 12.0%

28. For which of the following activities, if any, has your school district assigned specific responsibility to either in-house staff or others? (*Select all that apply.*) (*N*=167; includes those not responding to this question but completing subsequent ones.)

Number Percent

151 90.4% a. Posting new pages to the site	
130 77.8 b. Regularly reviewing Web pages' content and updating as needed	
120 71.9 c. Testing Web pages before releasing them publicly	
108 64.7 d. Checking links on the Web page and changing "dead" links	
81 48.5 e. Responding to Web-generated requests for information or service	
46 27.5 f. Tracking user activity of the site	
58 34.7 g. Actively monitoring the site to identify security breaches	
121 72.5 h. Maintaining updated antivirus software and installing software "pa	tches"

29. Has your school district evaluated the Web site to determine how well it is meeting your e-government goals? (Select one answer.) (N=166)

Number Percent

- 29 17.5% 1 Yes.
- 101 60.8 2 No. (Go to Question 31.)

36 21.7 3 No, but we plan to within the year. (*Go to Question 31.*)

30. If YES, how satisfied are you that your school district's experience with e-government has met your original expectations? (*Select one.*) (*N*=29; out of those who answered yes to Q29.)

	Somewhat	Somewhat		Don't
Satisfied	Satisfied	<u>Unsatisfied</u>	<u>Unsatisfied</u>	Know
<i>N</i> =5; 17.2%	<i>N</i> =19; 65.5%	<i>N</i> =3; 10.3%	<i>N</i> =1; 3.4%	<i>N</i> =1; 3.4%

31. Has your school district taken either of the following steps? (For each row select one response.)

		Yes	<u>No</u>	No, But We Plan <u>To Within The Year</u>
a.	Identified measures to determine whether the Web site is cost-effective (<i>N</i> =162)	<i>N</i> =16 9.9%	<i>N</i> =127 78.4%	<i>N</i> =19 11.7%
b.	Reviewed and revised the Web site based on feedback from users (<i>N</i> =161)	<i>N</i> =85 52.8%	<i>N</i> =60 37.3%	<i>N</i> =16 9.9%

Finally, we would like to ask for your comments.

32. What innovative or effective methods has your school district used in developing and maintaining e-government?

33. Please include any additional comments or concerns. (Use an additional sheet, if needed.)

Thank you for completing this questionnaire!

Please send the completed form in the pre-addressed envelope, or fax it to 651/296-4712, by November 6, 2001.

Office of the Legislative Auditor

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